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**REQUEST FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000 provides for continued examination of a utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA)

Application Number: 09/892,878

Filing Date: June 28, 2001

First Named Inventor: Jun Dong KIM

Group Art Unit: 2814

Examiner: S. Rao

Attorney Docket Number: 8245.0027-00

Attorney Customer Number: 22,852

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**Note:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) instead of a RCE to be eligible for patent term adjustment provisions of the AIPA. See "Changes to Application Examination and Provisional Application Practice," Interim Rule, 65 Fed. Reg. 14865 (March 20, 2000). Off. Gaz. Pat. Office 47 (April 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114:

a. ☐ Previously submitted

- i. ☐ Consider the amendment(s)/reply after final under 37 C.F.R. § 1.116 previously filed on \_\_\_\_.
- ii. ☐ Consider the arguments in the Appeal Brief of Reply Brief previously filed on \_\_\_\_.
- iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed:

- i. ☒ Preliminary Amendment
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement
- iv. ☐ Other \_\_\_\_\_

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2. Miscellaneous

- a. ☐ Suspension of action on the above-mentioned application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_ months. (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. § 1.17(i) required.)
- b. ☐ Other \_\_\_\_\_

3. Fees

a. ☒ The filing fee is calculated as follows:

- i. ☒ \$750.00 RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Petition for extension of time for (two (2) Months) \$410.00
- iii. ☐ Other \_\_\_\_\_

b. ☒ Checks in the amount of \$750.00 and \$410.00 are enclosed.

c. ☒ The Commissioner is authorized to charge any deficiencies in the filing fees, or credit any overpayments to Deposit Account No. 06-0916.

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**Signature of Applicant, Attorney, or Agent Required**

Name: Donald D. Min

Reg. No.: 47,796

Signature: *Donald D. Min*

Date: May 5, 2003

**Certificate of Mailing or Transmission**

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PATENT  
Customer No. 22,852  
Attorney Docket No. 08245.0027

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )

Jun Dong KIM et al. )

Application No.: 09/892,878 )

Filed: June 28, 2001 )

For: METHOD FOR FORMING GATE )  
ELECTRODES IN A )  
SEMICONDUCTOR DEVICE )  
USING FORMED FINE )  
PATTERNS )

Group Art Unit: 2814

Examiner: S. Rao

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PRELIMINARY AMENDMENT**

After processing of the Request for Continued Examination filed concurrently herewith pursuant to 37 C.F.R. § 1.114 and the Petition for Extension of Time and fee filed concurrently herewith, which extends the period for reply to May 5, 2003 (May 3, 2003 being a Saturday), and in lieu of the Amendment After-Final previously filed on February 28, 2003, please amend this application as follows:

**IN THE CLAIMS:**

✓ Please cancel claims 5-10, without prejudice or disclaimer, amend claims 1 and 3, and add new claims 11-13 as indicated in the attached Appendix and as presented below: